

**CCG REPORT COVER SHEET**

<b>Meeting Title:</b>	<b>Governing Body in Public</b>	<b>Date: 8<sup>th</sup> September 2020</b>								
<b>Report Title:</b>	<b>Early Intervention and Prevention Framework</b>	<b>Agenda Item: 4.5</b>								
<b>Chief Officer:</b>	Jane Webster, Director of Commissioning									
<b>Clinical Lead:</b>	Becky Jones									
<b>Report Author:</b>	Sandra Pedley, Commissioning Manager - Integration									
<b>Document Status:</b>	FINAL									
<b>Report Summary:</b>	The report outlines the CCG's intention to join the Local Authorities in a procurement to establish a new framework for Early Intervention and Prevention services.									
<b>Report Purpose:</b>	<table border="1"> <tr> <td><b>For Assurance</b></td> <td></td> <td><b>For Decision</b></td> <td></td> <td><b>For Approval</b></td> <td>X</td> <td><b>For Recommendation</b></td> <td></td> </tr> </table>	<b>For Assurance</b>		<b>For Decision</b>		<b>For Approval</b>	X	<b>For Recommendation</b>		
<b>For Assurance</b>		<b>For Decision</b>		<b>For Approval</b>	X	<b>For Recommendation</b>				
<b>Recommendation:</b>	To approve the CCG working with Local Authorities in procuring a Framework to support the commissioning of services under the Early Intervention and Prevention (EIP) portfolio will maximise opportunities for innovative service development through increased co-design with the market and a more joined up and integrated approach to commissioning.									
<b>Link to Corporate Objective:</b>	<b>Objective 1 – Ensure clear patient voice in everything we do</b>									
	<b>Objective 2 – Deliver improvements that make best use of the public pound and save system 'cost'</b>									
	<b>Objective 3 – Use data and information to prove everything</b>									
	<b>Objective 4 – Deliver the prioritised performance standards</b>									
	<b>Objective 5 – Deliver the 6 transformation programmes</b>									
	<b>Objective 6 – Deliver the CCG Financial Plan</b>									
<b>CAF (Strategic Risk) Reference</b>	<b>Description of Risk</b>	<b>Current Risk Score</b>								
CAF 08	Risk to maintaining robust CCG Governance Arrangements	8 (A)								
<b>NHSE CCG IAF Links</b>	<b>IAF 1 Domain 1 - Better Health</b>									
	<b>IAF 2 Domain 2 - Better Care</b>	X								
	<b>IAF 3 Domain 3 - Sustainability:</b>									
	<b>IAF 4 Domain 4 - Leadership</b>									
<b>Resource implications:</b>	The CCG currently has Section 75 and 256 agreements with both Local Authorities which will require review to ensure the most appropriate agreement is in place for services in scope of the framework. The CSI and Contracting Teams will ensure provision is made in the agreements where the level of contribution is reduced this is parallel for the LA and CCG. The value of contracts in scope are £615K.									
<b>Chief Officer/ SRO Sign Off:</b>	Jane Webster – Director of Commissioning									
<b>Chief Finance Officer Sign Off: (if required)</b>	Louis Kamfer, Chief Finance Officer									
<b>Legal implications including equality and diversity assessment:</b>	<ul style="list-style-type: none"> <li>• New Inter Authority Agreement governing the relationship between CCC/PCC/CCG for the purpose of the procurement and Framework and call off process.</li> <li>• Call off from the framework will be under a NHS standard contract and not a Grant agreement due to the overarching arrangement being a 'Framework contract'</li> <li>• Services called off will determine options for funding arrangements, Section 75/256 agreements or individual budget.</li> <li>• Due to the varied nature of services under the Framework, impact assessments will be at called off stage.</li> </ul>									
<b>Conflicts of Interest</b>	In line with the CCG's Conflicts of Interest Policy.									
<b>Report history:</b>	None									
<b>Next steps:</b>	<ul style="list-style-type: none"> <li>• For Governing Body approve this paper</li> <li>• For the CCG to confirm to CCC/PCC the level of participation in the EIP Framework.</li> <li>• CCG to communicate with Providers on the list at Annex 2 of the EIP Framework procurement and the CCG's future commissioning intentions.</li> </ul>									

**MEETING: GOVERNING BODY**

**AGENDA ITEM:**

**DATE: 8<sup>TH</sup> SEPTEMBER 2020**

**TITLE: EARLY INTERVENTION AND PREVENTION FRAMEWORK**

**FROM: ALEXSANDRA MECAN  
HEAD OF COMMUNITY SERVICES COMISSIONING AND  
INTEGRATION**

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## **1 INTRODUCTION**

- 1.1 The CCG has been approached by Cambridgeshire County Council to consider joining both Local Authorities in procuring a framework for Early Intervention and Prevention services (EIP). The duration of the contract will be five years with an option to extend for up to two years, commencing on 1 April 2021.
- 1.2 A previous Local Authority tender process was abandoned following concerns raised by the market. The originally proposed framework was limiting and did not allow for joined up commissioning of similar services.
- 1.3 The Local Authorities have undertaken a review and a revised framework has been developed to provide a wider and more flexible commissioning tool. The EIP framework will be based on 'Lots', which the CCC/PCC/CCG will be able to 'call off' either jointly or individually. The lots are:
- Lot 1 – Support for Hospital Discharge and Admission Avoidance
  - Lot 2 – Information and advice Services
  - Lot 3 – Community Support
  - Lot 4 – Day Opportunities (subject to outcome of a wider review this may be removed).

Please see Annex 1 for more details.

## **2 KEY POINTS**

- 2.1 There is recognition of the need to support moving to an asset based approach to manage demand, which promotes independence and choice, whilst maximising place based community assets. The future commissioning of prevention and early intervention services is a key element to support the delivery of this approach, in line with the principles of both the Think Communities agenda and Long-Term Plan and health inequalities agenda identified during COVID.
- 2.2 The Early Intervention and Prevention agenda supports the following objectives:
- Enabling people to maintain their independence and stay living at home or within their family for longer.

- Receive appropriate information and advice to support the principles of shared decision making with service users, carers and families.
  - Receive appropriate outcome based support which focusses on people's strengths and assets
  - Collaboration and interagency working to improve services and the experiences of service users and carers.
  - To improve, innovate and evidence the impact and effectiveness of early intervention and prevention services, demonstrating their social and economic impact
- 2.3 Procuring a Framework to support the commissioning of services under the EIP portfolio will maximise opportunities for innovative service development through increased co-design with the market and a more joined up and integrated approach to commissioning. A list of current CCG contracts in scope of the Framework are list in Annex 2. The current value of these contracts is £615K.
- 2.4 To note that some of the contracts listed in Annex 2 are badged against BCF metrics. Assurance has been sought from LGSS that inclusion in this Framework will not affect the delivery of services or the metrics and the Framework will be sufficiently flexible to the funding arrangements of annual funding cycles.
- 2.5 There will be no additional funding implications of the Framework as all identified contracts are currently within the CCG budget. However, there will be opportunity to add services, if there is an identified need, during the term of the Framework Contract, which will be made clear during the Framework tender process.
- 2.6 The Framework will be jointly commissioned across Cambridgeshire County Council, Peterborough City Council and the CCG. An Inter Authority Agreement will be put in place to govern the relationship between the three parties for the purposes of the procurement and Framework.
- 2.7 Providers will apply for the Lots under which they will deliver services. There will be no limit on the number of lots a provider could apply to as long as the criteria for that lot was satisfied to the required standard.
- 2.8 Entry onto the Framework would be a light touch evaluation to ensure viability and robustness of the provider and that they had the required experience in the lot area they were bidding for. Once accepted onto the Framework providers will be issued a contract based on the overarching terms and conditions of the Framework. This would include standard clauses around no guarantee of volume of work.
- 2.9 Commissioners will have the option to determine whether a service can be commissioned via the Framework. Call-off from the Framework and evaluation methodology will follow a process to be agreed in the Inter Authority Agreement. The call-off process will include any specific service user consultation and co-production, soft market testing, specification development and TUPE.
- 2.10 At call off there will be a range of options at this stage depending on the service and funding arrangement – this would encompass S256/75 agreements or an individual call-off which could be for the same service but with separate

budget/call off contract. There will be flexibility where CCG/PCC/CCC can all call-off jointly or as individual commissioning organisations.

- 2.11 To enable new providers to be added during the lifetime of the Framework contract a procurement window will be opened on a regular basis.
- 2.12 To allow for the Framework contract to be set up and a call off process undertaken, where existing service arrangements expire on 31 March 2021 these will need to be extended to allow for the call off process and a mobilisation period (if necessary) to be completed.

### **3 GOVERNANCE**

3.1 The Governance arrangements will be as follows:

- Inter Authority Agreement governing the relationship between CCC/PCC/CCG for the procurement and Framework.
- Section 75/265 agreements – some services in scope are already commissioned via such arrangements these will be reviewed or amended as funding arrangements are agreed when calling off from the Framework. Include provision for parallel reductions in contributions where jointly funded.
- An overarching Framework contract will be put in place with Providers accepted onto the Framework.
- Following a call off a contract (not grant agreement) will be issued for the service being commissioned.
- Oversight by Local Authority Joint Commissioning Board and system wide Integrated Commissioning Board
- A Joint Project Board will be established to discuss and develop ideas openly, informing Partners of any actual/potential service requirements through the Call Off process and provide management of the Framework to realise aims and outcomes of the Project.
- Services commissioned through the Call Off process will be monitored by the Commissioner/s of individual services against the patient outcomes in service specifications.

### **4. RECOMMENDATION**

4.1 The Governing Body is asked to:

Approve the CCG working with Local Authorities in procuring a Framework to support the commissioning of services under the Early Intervention and Prevention (EIP) portfolio will maximise opportunities for innovative service development through increased co-design with the market and a more joined up and integrated approach to commissioning.

### **5 REASON FOR RECOMMENDATION**

5.1 The benefits of jointly procuring the Framework are:

- Opportunities for joint commissioning with CCC and PCC
- Reduce duplication of commissioned services between the Local Authority and Health
- Potential for commissioning efficiently through a more competitive market

- To work collaboratively in reducing inequalities identified during COVID
- Flexibility to call off for one year or multiple years.

## **6 IMPACT ASSESSMENT**

- 6.1 Impact assessments will be undertaken at call off stage due to the varied services covered by the Framework.

**Author**        ***Sandra Pedley***  
***Commissioning Manager - Integration***  
***2 September 2020***

## Annex 1 – DRAFT Lot Description and Outcomes

### LOT 1 – SUPPORT FOR HOSPITAL DISCHARGE AND ADMISSION AVOIDANCE

<b>Lot Description</b> Services which will support the timely discharge of people from hospital or to prevent admission/re-admission of individuals into an acute setting	
<b>Provider Skills</b>	<b>High Level Outcomes</b>
<ul style="list-style-type: none"> <li>• Developed partnerships with acute and social care settings</li> <li>• Able to effectively communicate and work with statutory services across health and social care</li> <li>• Recovery and re-ablement focussed delivery which promotes and enables independence</li> <li>• Ability to signpost effectively where requirements fall outside of service scope</li> <li>• Able to assess and manage risk effectively</li> <li>• Service users and carers are consistently involved in the co-production, development and delivery of services</li> </ul>	<ul style="list-style-type: none"> <li>• Reduction in discharge times from acute settings</li> <li>• Support effective discharge processes</li> <li>• Prevent of admission and re-admission wherever possible</li> <li>• Provide high quality assessments for support needs</li> <li>• Identification and mitigation of risk</li> <li>• Service users are supported to increase independence and improve quality of life</li> </ul>

### LOT 2 – INFORMATION AND ADVICE SERVICES

<b>Lot Description</b> Services which deliver information and advice to improve access and awareness	
<b>Provider Skills</b>	<b>High Level Outcomes</b>
<ul style="list-style-type: none"> <li>• Able to deliver high quality advice and information through a variety of methods and media appropriate to specific cohorts</li> <li>• Knowledge of local systems and pathways to support accurate and timely information and advice</li> <li>• Delivery of services to support independence and enable people to self-service wherever possible</li> </ul>	<ul style="list-style-type: none"> <li>• Information is easy to access and understand</li> <li>• Advice for individuals is available to meet their specific circumstances</li> <li>• Self-service resources are available to support delivery of services</li> <li>• Services are able to measure access to information and impact of advice</li> </ul>

<ul style="list-style-type: none"> <li>• Ability to signpost effectively where requirements fall outside of service scope</li> <li>• Able to assess and manage risk effectively</li> <li>• Service users and carers are consistently involved in the co-production, development and delivery of services</li> </ul>	<ul style="list-style-type: none"> <li>• Services are delivered in an inclusive way which meets the needs of specific cohorts and hard to engage communities</li> </ul>
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### LOT 3 – COMMUNITY SUPPORT

<p><b>Lot Description</b> Services which are delivered in local communities or within people’s homes supporting increasing or prolonging independence and improving social connections</p>	
<p><b>Provider Skills</b></p> <ul style="list-style-type: none"> <li>• Able to effectively communicate and work across pathways within local communities</li> <li>• Able to adapt and develop local responses to meet needs</li> <li>• Recovery and reablement focussed delivery which promotes and enables independence</li> <li>• Understand the importance of social connections and impact on health and wellbeing</li> <li>• Ability to signpost effectively where requirements fall outside of service scope</li> <li>• Able to assess and manage risk effectively</li> <li>• Service users and carers are consistently involved in the co-production, development and delivery of services</li> </ul>	<p><b>High Level Outcomes</b></p> <ul style="list-style-type: none"> <li>• Individuals are able to maintain their independence</li> <li>• Individuals feel more connected to their communities</li> <li>• Provide quality assessments for support needs and identification and mitigation of risk</li> <li>• Service users are supported to increase independence and improve quality of life</li> </ul>

**LOT 4 – DAY OPPORTUNITIES \*\* This Lot is being scoped for potential inclusion on the Framework subject to the outcome of a wider review into the commissioning on Day Opportunities. Subject to the review this may be removed\*\*\***

<b>Lot Description</b>	
Services which provide centres within local communities where people with support needs can go to meet others, engage in a range of appropriate activities, reducing social isolation and maximising independence	
<b>Provider Skills</b>	<b>High Level Outcomes</b>
<ul style="list-style-type: none"> <li>• Developed partnerships with social care and community settings</li> <li>• Able to effectively communicate and work with statutory services</li> <li>• Able to adapt/flex offer to meet the preferences of those using services</li> <li>• Recovery and reablement focussed delivery ethos which promotes and enable independence</li> <li>• Ability to signpost effectively where requirements fall outside of service scope</li> <li>• Able to assess and manage risk effectively</li> <li>• Service users and carers are consistently involved in the co-production, development and delivery of services</li> </ul>	<ul style="list-style-type: none"> <li>• Individuals increase their social connections</li> <li>• Informal carers are provided with an opportunity for a break from their caring responsibilities</li> <li>• Provide quality assessments for support needs and identification and mitigation of risk</li> <li>• Service users are supported to maintain/increase independence and improve quality of life</li> </ul>



## Annex 2 –

List of identified contracts for future commissioning via the EIP Framework

<b>Provider</b>	<b>Proposed Lot</b>
Care Network	1
Caring Together	3
Caring Together	1
Hunts Forum	2
Age UK	3
Age uk	2+3
Age uk	2
CCC - sensory service	2+3
Volunteer action	2+3
CCC	2
Total Value	£614,836

Please note further contracts are being considered by the Mental Health Team for suitability for commissioning via the Framework and may be added at a later stage.