

CCG REPORT COVER SHEET

Meeting Title:	Primary Care Commissioning Committee	Date: 15 June 2021								
Report Title:	CCG Assurance Framework and Risk Register 2021-22	Agenda Item: 3.1								
Chief Officer:	Sharon Fox, Director of Governance									
Clinical Lead:	N/a									
Report Author:	Sharon Fox, Director of Governance									
Document Status:	Final									
Report Summary:	The CCG Assurance Framework and Risk Register (CAF) sets out the high level strategic organisational risks that could potentially have an impact on the CCG and its ability to deliver its responsibilities. This report presents the latest version of the CCG Assurance Framework and Risk Register (CAF) for 2021-22 for review									
Report Purpose:	<table border="1"> <tr> <td>For Assurance</td> <td>√</td> <td>For Decision</td> <td></td> <td>For Approval</td> <td></td> <td>For Recommendation</td> <td></td> </tr> </table>	For Assurance	√	For Decision		For Approval		For Recommendation		
For Assurance	√	For Decision		For Approval		For Recommendation				
Recommendation:	The Primary Care Commissioning Committee is asked to review the current version of the CAF 2021/22 attached as Appendix 1 and in particular, comment on the risks relevant to Primary Care.									
Link to Corporate Objectives: 2020/22	Ensure everyone has the opportunity to improve their health and well-being									
	Level-up health and care provision to ensure our communities in areas of high deprivation and need get the resources needed to minimise inequalities									
	Focus time and resources on areas where people receive most of their health and care services, the community									
	Facilitate organisations to join forces at 'place' and offer 'patient first' well-co-ordinated efficient services to those who need them.									
	Deliver our statutory financial commitments as best as possible									
CAF (Strategic Risk) Reference	Description of Risk	Current Risk Score								
CAF08	There is a risk that the transition from the CCG to the Integrated Care System will lead to loss of focus on business as usual and the delivery of the CCG's statutory functions.	12(A)								
Impact Assessments	Equality	N/a								
	Health Inequalities									
	Health Impact									
	Data Privacy									
	Sustainability									
Quality										
Financial Impact	N/a									
Chief Officer/ SRO Sign Off:	Sharon Fox, Director of Governance									
Chief Finance Officer Sign Off: (if required)	N/a									
Legal implications	N/a									
Conflicts of Interest	Any conflicts of interest (Col) will need to be raised and managed in line with the CCG's Col Policy									
Report history:	The CAF is reported to PCCC on a regular basis									
Next steps:	Comments from the Committee will be reflected in the next version of the CAF.									

MEETING: PRIMARY CARE COMMISSIONING COMMITTEE
AGENDA ITEM: 3.1
DATE: 15 JUNE 2021
TITLE: CCG ASSURANCE FRAMEWORK & RISK REGISTER 2021/22
FROM: SHARON FOX, DIRECTOR OF GOVERNANCE

1. ISSUE

- 1.1 The CCG Assurance Framework and Risk Register (CAF) sets out the high level strategic organisational risks that could potentially have an impact on the CCG and its ability to deliver its responsibilities.
- 1.2 This report presents the latest CCG Assurance Framework and Risk Register (CAF) for 2021/22 for discussion in relation to the work of PCCC. This is attached at Appendix 1.

2 KEY POINTS

- 2.1. The CAF is seen as a living document that is updated regularly and reported to the CCG Governing Body and relevant sub-committees for monitoring purposes. The current version is still in the process of being reviewed by Senior Risk Owners and relevant Sub-Committees and will culminate in its submission to the Governing Body in Public on 6 July 2021.
- 2.2 The summary set out in Section 2 (Page 3) includes a brief Senior Risk Owner (SRO) commentary, which is in line with the recommendation from our Internal Auditor. SROs have been asked to review and update this on a monthly basis.
- 2.3 Section 3 (Page 5 onwards) provides a detailed description for each risk. This includes the scoring, cause and effect of the risks, gaps in controls and levels of assurances (First Line, Second Line, Third Line). Preceding month scores will also be recorded and presented in subsequent reporting. This will Committees to keep track of the 'movement' of each risk on the CAF as the year progresses.

3. RECOMMENDATION

- 3.1 The Committee is asked to receive the latest 2021-22 CAF presented at Appendix 1; and comment on any risks pertinent to the work of the Committee.

Author ***Simon Barlow***
Corporate Governance Manager
3 June 2021

Appendix 1 CCG Assurance Framework & Risk Register 2021/22 – May 2021